DEF 1 5 2004

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of	)	COLD WATER SOLUBLE
Wang et al.	)	EXTRUDED STARCH PRODUCT
	)	
Serial No.: 09/863,928	)	Attorney Docket: 006401.00371
Filed: May 23, 2001	)	
	)	Group Art Unit: 1732
	)	
	)	Examiner: Monica A. Fontaine

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Mail Stop: AF

## **RESPONSE**

In response to the Advisory Action mailed September 30, 2004, please reconsider the present application in light of the following remarks. No amendments are made to the claims, but a complete listing of the claims is nonetheless provided beginning on the next page. Remarks begin on the first page following the claim amendments.

10-18-04

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERQE

aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM  (to be used for all correspondence after initial filing)		Application Number Filing Date First Named Inventor		09/863,928  May 23, 2001  Wang et al.						
							Art Un	it	1732	
									Exami	ner Name
		Total Number of Pages in This Submission		Attorne	ey Docket Number	006401.00371				
	ENCL	OSURES	(check all that apply)							
Fee Transmittal Form	Assignment Papers (for an Application)			After Allowance Communication to Group						
Fee Attached	☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences						
Amendment / Response	Licensi	ing-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)						
After Final	Petition	1		Proprietary Information						
Affidavits/declaration(s)	Petition to Convert to a Provisional Application			Status Letter						
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):						
Express Abandonment Request	Express Abandonment Request Termin			Request for Continued Examination, Certificate of Express Mailing, return postcard.						
☐ Information Disclosure Statement	CD, N	mber of CD(s)		postcard.						
Certified Copy of Priority Document(s) Ren		The Commissioner is hereby authorized to charge fees indicated to deposit account number 19-0733.								
Response to Missing Parts/ Incomplete Application										
Response to Missing Parts under 37 CFR 1.52 or 1.53		·								
SIGNA	TURE OF	APPLICA	ANT, ATTORNEY, OI	R AGENT						
Firm or Jeffrey M. Cox; Re Individual name	eg. No. 50,69	5								
Signature	he									
Date Oxfober 15,-2004										

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 07/31/2006. OMB 0551-0032

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**KEE TRANSMITTAL** OCT for FY 2004 e 10/01/2003. Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

790

Complete if Known					
Application Number	09/863,928				
Filing Date	May 23, 2001				
First Named Inventor	Wang et al.				
Examiner Name	Monica A. Fontaine				
Art Unit	1732				
Attorney Docket No.	006401.00371				

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
Charle Coditions C. Manney C. Other C. North		3. ADDITIONAL FEES					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order		Large	Entity	Small E	ntity		
Deposit Accou	nt:	1					į
Deposit		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account 19-0733 Number		1051	130	2051	65	Surcharge - late filing fee or oath	
		1052	50	2052	25	Surcharge - late provisional filing fee	
_						or cover sheet.	
Deposit Account	Banner & Witcoff, LTD.	1053	130	1053	130	Non-English specification	<b> </b>
Name	Ballici & Wilcoll, ETD.	1812	2,520	1812	2,520	For filing a request for reexamination	
The Director is authorized to: (check all that apply)		1804	920*	1804	920*	Requesting publication of SiR prior to Examiner action	
⊠ Charge fee(s) indicated below ☑ Credit any overpayments     ☑ Charge any additional fee(s) during the pendency of this application			1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	ndicated below, except for the filing fee	1251	110	2251	55	Extension for reply within first month	
to the above-identified deposit account.  FEE CALCULATION			420	2252	210	Extension for reply within second month	
1. BASIC F	LING FEE	1253	950	2253	475	Extension for reply within third month	
Large Entity	Small Entity	1254	1,480	2254	740	Extension for reply within fourth month	
	ee Fee <u>Fee Description</u> code (\$)	1255	2,010	2255	1,005	Extension for reply within fifth month	
1.,	001 385 Utility filing fee	1401	330	2401	165	Notice of Appeal	
1002 340 2	002 170 Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2	003 265 Plant filing fee	1403	290	2403	145	Request for oral hearing	
-	004 385 Reissue filing fee 005 80 Provisional filling fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1003 100   2	000 00 Provisional mining lee	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 0		1453	1,330	2453	665	Petition to revive – unintentional	
0 EVED 4 01 4	IM FEED FOR LITH ITY AND DEIGOUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRACLA	IM FEES FOR UTILITY AND REISSUE  Extra Fee from Fee	1502	480	2502	240	Design issue fee	
	Claims below Paid	1503	640	2503	320	Plant issue fee	
Total Claims 36	-40 ** = 0 X = 0	1460	130	1460	130	Petitions to the Commissioner	<b> </b>
Independent Claims 5	-5 ** = 0 X = 0	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	' <del>   </del>
Claims 5 Multiple		1806	180	1806	180	Submission of Information Disclosure Stmt	
Dependent  Large Entity	X = 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee	Eag Eag	1809	770	2809	385	Filing a submission after final rejection	$\Box$
Code (\$)	Code (\$) Fee Description			ĺ		(37 CFR § 1.129(a))	
1202 18	2202 9 Claims in excess of 20	1810	770	2810	385	For each additional invention to be	
1201 86	2201 43 Independent claims in excess of 3	ł				examined (37 CFR § 1.129(b))	
1203 290	2203 145 Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)	790
1204 86	2204 43 ** Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent			of a design application				
SUBTOTAL (2) (\$) 0		Other fee (specify)					
		*Redu	ced by B	asic Filin	g Fee Pa	aid SUBTOTAL (3) (\$) 79	0
**or number previously paid, if greater; For Reissues, see above							

SUBMITTED BY Complete (if applicable) Registration No. (Attorney/Agent) 50,695 Jeffrey M. Cox 312-463-5000 Name (Print/Type) Telephone Signature Date October 15, 2004

WARMING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Included on this form. Provide credit card information and authorization on P10-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## CERTIFICATE OF MAILING BY EXPRESS MAIL

(PATENT APPLICATION)

Express Mail No. <u>EV378039104US</u>

Deposited October 15, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop RCE, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Application of:

Wang et al.

Application No.: 09/863,928

Filing Date:

May 23, 2001

Title:

COLD WATER SOLUBLE EXTRUDED STARCH PRODUCT

- Transmittal form, in duplicate (X)
- Fee transmittal, in duplicate (X)
- Request for Continued Examination form (X)
- Response, 5 pages (X)
- Return Receipt Postcard (X)

Attorney Docket No: <u>006401.00371</u>